

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/768,020	01/23/2001	Ralph J. Greenspan	P-NI 4577	P-NI 4577 9299	
23601	7590 06/05/2002				
CAMPBELL & FLORES LLP 4370 LA JOLLA VILLAGE DRIVE 7TH FLOOR SAN DIEGO, CA 92122			EXAMI	EXAMINER PARAS JR, PETER	
			PARAS JR		
,			ART UNIT	PAPER NUMBER	
			1632	1	
			DATE MAILED: 06/05/2002	$\varphi$	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)			
Office Action Comments	09/768,020	GREENSPAN ET AL.			
Office Action Summary	Examiner	Art Unit			
	Peter Paras	1632			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the d	correspondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status					
1) Responsive to communication(s) filed on	<u> </u>				
2a) ☐ This action is <b>FINAL</b> . 2b) ☐ Thi	is action is non-final.				
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims					
4)⊠ Claim(s) <u>1-37</u> is/are pending in the application					
4a) Of the above claim(s) is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.					
6) Claim(s) is/are rejected.					
7) Claim(s) is/are objected to.					
8) Claim(s) 1-37 are subject to restriction and/or e	election requirement.				
Application Papers					
9) The specification is objected to by the Examiner	r.				
10) The drawing(s) filed on is/are: a) accep	oted or b)⊡ objected to by the Exa	miner.			
Applicant may not request that any objection to the					
11)☐ The proposed drawing correction filed on is: a)☐ approved b)☐ disapproved by the Examiner.					
If approved, corrected drawings are required in reply to this Office action.					
12)☐ The oath or declaration is objected to by the Examiner.					
Priority under 35 U.S.C. §§ 119 and 120					
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).					
a) All b) Some * c) None of:					
<ol> <li>Certified copies of the priority documents</li> </ol>	s have been received.				
2. Certified copies of the priority documents	s have been received in Applicati	ion No			
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.					
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).					
<ul> <li>a) ☐ The translation of the foreign language provisional application has been received.</li> <li>15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.</li> </ul>					
Attachment(s)					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	5) Notice of Informal	y (PTO-413) Paper No(s) Patent Application (PTO-152)			
S. Patent and Trademark Office					

Art Unit: 1632

## **DETAILED ACTION**

#### Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 1-21, drawn to a method of mapping a network of functional gene interactions relating to Alzheimer's disease, classified in classes 800, 800, and 435 subclasses 13, 22, and 455.
- II. Claims 22-29, drawn to a method for identifying a therapeutic agent for treating Alzheimer's disease, classified in class 800, subclass 3.

#### III-XXXXXV.

Claims 30-33, each group drawn to the nucleotide sequences set forth in SEQ ID NOs 1-63 respectively, classified in class 536, subclass 23.1.

### XXXXXXVI-XXXXXXXXII

Claims 30-33, each group drawn to the nucleotide sequences set forth in SEQ ID NOs 64-80 respectively, classified in class 536, subclass 23.1.

Art Unit: 1632

Inventions I and II are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions have different modes of operation, different functions, and different effects and thus different uses. The method of Group I can be used to identify a gene. The method of Group II can be used to screen for therapeutic agents. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter and separate search requirement, restriction for examination purposes as indicated is proper.

Inventions III-XXXXXXV and XXXXXXVI-XXXXXXXXII are unrelated each from the other. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions have different functions and different effects. The nucleotide sequences of Groups III-XXXXXXXXII have different chemical structures and without evidence to the contrary cannot be used together. Upon election of a single nucleotide sequence from SEQ ID NOs: 1-80 the appropriate claims will be examined with respect to the elected sequence. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter and separate search requirement, restriction for examination purposes as indicated is proper.

Art Unit: 1632

Inventions I-II and III-XXXXXXXXII are unrelated each from the other. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together

(MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions have

and they have different modes of operation, different functions, or different effects

different modes of operation, different functions, and different effects and thus have

different uses. The methods of Groups I-II can be practiced with different products from

the nucleotide sequences of Groups III-XXXXXXXXII. The nucleotide sequences of

Groups III-XXXXXXXXII can be used in materially different methods that require

different technical considerations from the methods of Groups I-II. For example, the

nucleotide sequence of Groups III can be used to transform a somatic cell in vitro or in a

hybridization assay in vitro while the method of Group II can be used to identify a

therapeutic agent. Because these inventions are distinct for the reasons given above

and have acquired a separate status in the art because of their recognized divergent

subject matter and separate search requirement, restriction for examination purposes

as indicated is proper.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim

Art Unit: 1632

remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner(s) should be directed to Peter Paras, Jr., whose telephone number is 703-308-8340. The examiner can normally be reached Monday-Friday from 8:30 to 4:30 (Eastern time).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Deborah Reynolds, can be reached at 703-305-4051. Papers related to this application may be submitted by facsimile transmission. Papers should be faxed via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The CM1 Fax Center numbers are (703) 308-4242 and (703) 305-3014.

Inquiries of a general nature or relating to the status of the application should be directed to Patsy Zimmerman whose telephone number is (703) 305-2758.

Veter wasf

Peter Paras, Jr.

Art Unit 1632